

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Wesley C. Hand, et al.

Appl. No.:

09/943,640

Filed

August 30, 2001

Entitled

RUN-TIME GRAPHICAL PROGRAMMING

TOOL FOR TESTING NON-DETERMINISTIC

EVENTS

Docket

EMPIR-042PUS

GROUP 1700

Group Art Unit: 2184

Examiner: Not Yet Assigned

RECEIVED

APR 0 1 2003

Technology Center 2100

Certificate of Mailing (37 C.F.R. 1.8(a))

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first-class mail with in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on the date set forth below.

20-Mar-03 Date of Signature

and Mail Deposit

Reg. No. 40,150

Attorney for Applicant(s)

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, DC 20231

Dear Sir:

It is desired to cite for the record in this application the enclosed documents listed on the attached copy of PTO Form #1449. The paragraph(s) marked below are applicable to this Information Disclosure Statement.

03/28/2003 ZJUHAR1 00000070 09943640

01 FC:1806

180.00 OP

Appl. No.: 09/943,640

Filed: August 30, 2001

Atty. Docket No.: EMPIR-042PUS

[] (1) The enclosed Information Disclosure Statement is being filed: within three months of the filing date; or within three months of the entry of the national stage of the above-identified application; or before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. §1.114. Accordingly, Applicant(s) believes that no fee or statement is required.

[X] (2) Pursuant to 37 C.F.R. § 1.97(c), the enclosed Information Disclosure Statement is being filed before the mailing date of a final action or a notice of allowance and is accompanied by the fee set forth in 37 C.F.R. § 1.17(p).

SUBMISSION UNDER 37 C.F.R. § 1.97(c) INCLUDING A STATEMENT UNDER 37 C.F.R. § 1.97(e)(1)

[] (3) Pursuant to 37 C.F.R. § 1.97(c), the enclosed Information Disclosure Statement is being filed before the mailing date of a final action or a notice of allowance and is accompanied by a statement under 37 C.F.R. § 1.97(e)(1). The undersigned hereby states that each item of information contained in the attached Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application mailed not more than three months prior to the filing of the accompanying Information Disclosure Statement.

SUBMISSION UNDER 37 C.F.R. § 1.97(c) INCLUDING A STATEMENT UNDER 37 C.F.R. § 1.97(e)(2)

[] (4) Pursuant to 37 C.F.R. § 1.97(c), the enclosed Information Disclosure Statement is being filed before the mailing date of a final action or a notice of allowance and is accompanied by a statement under 37 C.F.R. § 1.97(e)(2). The undersigned hereby states that no item of information contained in the accompanying

Appl. No.: 09/943,640

Filed: August 30, 2001

Atty. Docket No.: EMPIR-042PUS

Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual having a duty of disclosure as set forth in 37 C.F.R. § 1.56 (c) more than three months prior to the filing of the accompanying Information Disclosure Statement.

SUBMISSION UNDER 37 C.F.R. § 1.97(d) INCLUDING A STATEMENT UNDER 37 C.F.R. §1.97(e)(1)

[] (5) Pursuant to 37 C.F.R. § 1.97(d), the enclosed Information Disclosure Statement is being filed on or before payment of the issue fee and is accompanied by a statement under 37 C.F.R. § 1.97(e)(1) and the fee required under 37 C.F.R. § 1.17(p). The undersigned hereby states that each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

SUBMISSION UNDER 37 C.F.R. § 1.97(d) INCLUDING A STATEMENT UNDER 37 C.F.R. §1.97(e)(2)

[] (6) Pursuant to 37 C.F.R. § 1.97(d), the enclosed Information Disclosure Statement is being filed on or before payment of the issue fee and is accompanied by a statement under 37 C.F.R. § 1.97(e)(2) and the fee required under 37 C.F.R. § 1.17(p). The undersigned hereby states that no item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual having a

Appl. No.: 09/943,640

Filed: August 30, 2001

Atty. Docket No.: EMPIR-042PUS

duty of disclosure as set forth in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the accompanying Information Disclosure Statement.

The filing of this Information Disclosure Statement is not a representation by the undersigned as to personal knowledge of the contents of every word or phrase of the material enclosed or that reliance on other suitably trained professionals has not been made.

If a search report of a searching agency is enclosed identifying the nature of the relevance of each document, such a designation is deemed to satisfy Rule 98(a) (3) even if in a foreign language, since the few terms of relevance therein are deemed of universal cognizance. However, Applicant(s) does not necessarily adopt the position reflected by that report.

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 500845.

Respectfully submitted,

DALY, CROWLEY & MOFFORD, LLP

Dated: 20-Mar-03

By: Mais W. Romble David W. Rouille

Reg. No. 40,150

Attorney for Applicant(s)

275 Turnpike Street, Suite 101

Canton, MA 02021-2310

Telephone: (781) 401-9988, x25

Facsimile: (781) 401-9966

	Form PTO-1449 (Rev. 8-83)
	OIPE
1	MAR 2 5 2003 E
\	
	MAR 2 5 2003 C

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

APPLICATION NO.

EMPIR-042PUS

ATTY. DOCKET NO.

09/943,640

APPLICANT

Wesley C. Hand et al.

FILING DATE

GROUP

August 30, 2001

2184

TRADENT									Au	igust 50,	2001	2104		
							U.S.	PATENT DO	OCUMENTS					
EXAMINERI NITIAL		I	OOCUM	IENT N	UMBE	R		DATE	NAMI	ΊΕ	CLASS	ROUP	FILING I	DATE IF PRIATE*
	5	5	4	2	0	4	3	07/30/96	Cohen et al.			APR 0 a	SOF	D
	6	0	7	4	4	2	6	07/13/00	Baumgartner	r et al.	G	ROLL	700 3	
											RFC	EIVED	⁷⁰⁰	
											. 5 . 4	0 1 2003		
											[echnolog	Center 210	0	
											,			
						FO	REIC	GN PATENT	DOCUMENT	TS				
•													TRANSLATION	
	DOCUMENT NUMBER							DATE	COUNT	rry	CLASS	SUBCLASS	YES	NO
	<u> </u>													
												···		
			OTHI	ER DO	OCUN	MENT	S (inc	cluding Auth	or, Title, Date,	, Pertinent	Pages, Etc	:.)		
	Cop	Copy of International Search Report for PCT/US02/27675												
•	Hammer Technologies: "Automated Regression Testing for IVR Applications", Hammer Technology Datasheets, January 2000, XP002219654 Retrieved from Internet.													
•.	Empirix Contact Center Test Group: "Hammer IT. Call Center Telephony Testing System", EMPIRIX DATASHEETS, February 2001, XP002219655, Retrieved from the Internet.													
				_										
					10									
Examiner	Date Considered:													
	*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and considered. Include copy of this form with next communication to applicant.													